



## Neurocognitive and Neuroarchitectural Changes in the Prefrontal Cortex of Wistar Rats Treated with Highly Active Antiretroviral Therapy

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### ABSTRACT

The use of highly active antiretroviral therapy has proven to be highly effective in the treatment of human immunodeficiency virus 1 (HIV-1) infection. However, its impact on cognition has not been fully explored. This study was designed to assess the impacts of antiretroviral therapy on cognitive function and histoarchitecture of the prefrontal cortex of Wistar rats. Forty adult male Wistar rats weighing 180-200 g were randomly assigned to 4 groups: control, tenofovir, lamivudine and efavirenz (n=10), which received 1 ml distilled water and 6 mg/kg, 6 mg/kg and 12 mg/kg, respectively. Spatial memory scores were assessed using the Y-maze test. Following behavioural studies, the animals were euthanized, and their whole brains harvested. The prefrontal cortex was sectioned and processed for oxidative stress, histological and immunohistochemical analyses. There was a significant decrease in percentage alternation evaluated from the right/wrong decisions scored from the tenofovir and lamivudine groups, compared to the control group ( $p < 0.05$ ). malondialdehyde (MDA) and reduced glutathione (GSH) levels were elevated following lamivudine and tenofovir exposure in the rats' prefrontal cortices, respectively, compared to control ( $p < 0.05$ ). There were also significant alterations of cortical pyramidal cells in the tenofovir and lamivudine groups. Additionally, marked astrogliosis with increased glial fibrillary acidic protein expression was observed, consistent with the structural alterations, especially in the lamivudine group. Our findings suggest that, of the three highly active antiretroviral therapy (HAART) drugs studied, lamivudine may be a major culprit in the progressive neurological damage and cognitive impairment in HIV-infected individuals on HAART.

**Key words:** Antiretroviral therapy; Tenofovir; Lamivudine; Efavirenz; Cognition; Prefrontal cortex

### INTRODUCTION

Significant progress has been made in mitigating and preventing human immunodeficiency virus (HIV)-related complications, including HIV-associated dementia. Antiretroviral medicines work by preventing the multiplication of human immunodeficiency virus (HIV), reducing its virulence (De Clercq 2009; Broder 2010).

Current international treatment guidelines for HIV entails the use of three antiretroviral drugs to attain

effective suppression of HIV-1 RNA replication (WHO 2021). Of the three antiretroviral drugs recommended, two are from the nucleoside reverse transcriptase inhibitors (NRTI) family, usually, tenofovir disoproxil fumarate and lamivudine, while the third is from non-nucleoside reverse transcriptase inhibitors (NNRTIs)

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usually either efavirenz, integrase strand transfer or a protease inhibitor (Soriano et al. 2017). NRTIs cling to the HIV-1 reverse transcriptase and serve as chain terminators preventing the completion of pro-viral DNA synthesis. NNRTIs also inhibits reverse transcriptase-dependent DNA synthesis (Das and Arnold 2013).

Highly active antiretroviral therapy (HAART) has remarkably improved the quality of life of people living with HIV but there remain about 20 – 50 % of people living with HIV (PLHV) who report different neurological disorders (Chen et al. 2013; Gabbai et al. 2013; Heaton et al. 2015). In the pre- antiretroviral therapy era, HIV-associated neurocognitive disorders (HAND) affected as high as 50% of PLHV (Grant et al. 1988; Saylor et al. 2016). However, the sheer severity of HAND has been reduced with only 2–3% of PLHV being afflicted with HIV-associated dementia. Most HAND cases are diagnosed with asymptomatic neurocognitive impairments or minor neurocognitive disorders (Saylor et al. 2016). It is quite confounding to observe from reports a high prevalence of some categories of HAND since people with HIV (PWH) on antiretroviral therapy often have low to undetectable viral loads. Studies have shown that low-level HIV protein expression may persist in HIV+ patients even on antiretroviral therapy (Ganor et al. 2019; Prevedel et al. 2019), but mounting evidence suggests that antiretroviral medications may also underlie some of the neurological dysfunctions observed (Robertson et al. 2012; Lorber 2013; Xu et al. 2017; Llibre et al. 2018; Soontornniyomkij et al. 2018). In fact, studies have implicated Chronic activation of astrocytes in HIV-associated neurocognitive disorder (HAND) and in the development of neurodegenerative diseases including Alzheimer's disease (AD) (Guillamón-Vivancos et al. 2015; Ru and Tang 2017). Astrocyte reactivity or astrogliosis refers to a series of molecular, morphological and functional changes astrocytes undergo in response to damage or injury to the central nervous system (CNS).

In our previous study, it was observed that the combination of highly active antiretroviral drugs comprising tenofovir, efavirenz and lamivudine increased oxidative stress, adversely affected memory and induced degeneration of neurons (Akang et al. 2019). Hence, this study was aimed at investigating the effects of tenofovir, efavirenz and lamivudine on cognitive function and histoarchitecture of the prefrontal cortex of Wistar rats

## MATERIALS AND METHODS

### Chemical and Reagents

The antiretroviral drugs including; tenofovir, efavirenz and lamivudine tablets of Merck Pharmaceutical Company, USA, were obtained from Chevron Clinic,

Gbagada and AIDS Prevention Initiative in Nigeria (APIN) Centre of Lagos University Teaching Hospital.

### Experimental Animals

Forty adult male Wistar rats weighing 180-200 g were randomly assigned to four different groups (n=10): control, tenofovir, lamivudine, and efavirenz. They were allowed to acclimatise for two weeks in the Animal house of the Department of Anatomy, College of Medicine, University of Lagos. They were exposed to 12 h light-dark cycle and allowed access to food and water ad libitum. The College of Medicine, University of Lagos' Health Research Ethics Committee approved this study with protocol number CMUL/HREC/03/17/113, and were conducted in accordance with the National Institute of Health Guide for the Care and Use of Laboratory Animals (NIH Publications No. 80-23) revised 1996.

### Treatment

The animals were allowed to acclimatise for two weeks, weighed and randomly assigned to four different groups (n=10): control, tenofovir, lamivudine and efavirenz; and treated once daily for 42 consecutive days via oral administration. Treatments were as follows: control (0.5 ml distilled water), 6 mg/kg body weight (b.w.) of tenofovir, 6 mg/kg b.w. of lamivudine and 12 mg/kg b.w. of efavirenz (Tibalinda et al. 2016).

### Behavioural Assessment

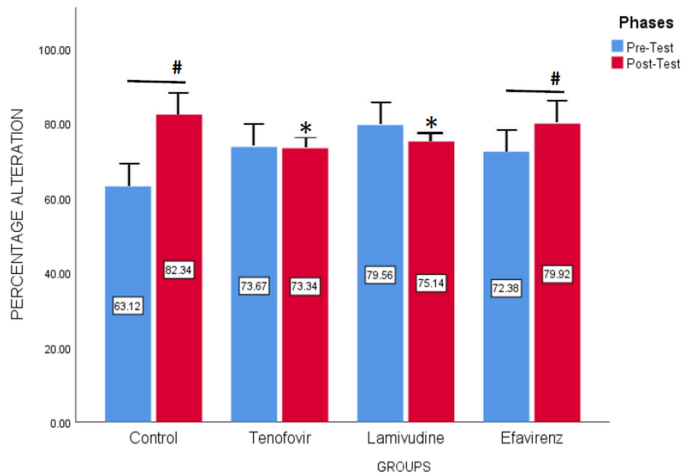
#### Y-Maze Test

Pre- and post-treatment spatial memory scores were assessed a week before and on the 42nd day of experiment respectively using the Y-maze test as previously reported (Ademola et al. 2016) in the Behavioural Testing Room of the Department of Anatomy, College of Medicine of the University of Lagos. Briefly, rats were placed at the junction of the three arms of the Y maze and allowed to make their arm decisions for a total test duration of 5 min. The frequencies of correct decisions/alterations (ABC, ACB, BCA, BAC, CBA or CAB) between the arms (ABC) were recorded to determine the memory index (percentage of correct alteration). The maze was cleaned with 70% ethanol between the trials to eliminate olfactory cues. The percentage alternation was calculated thus:

$$\% \text{ Alternation} = \frac{\text{No. of right decisions}}{\text{No. of total arm entries} - 2} \times 100$$

### Biochemical Analyses of Oxidative Stress Markers

Dissected prefrontal cortices of five animals per group were homogenised and centrifuged at 4,000 g for 5 min to obtain supernatant for the measurement of malondialdehyde (MDA), reduced glutathione (GSH), superoxide dismutase (SOD) and catalase

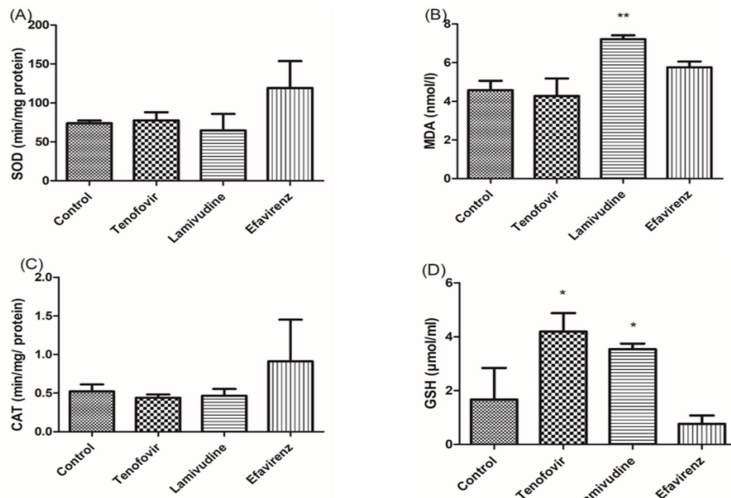


**Fig. 1: Percentage alteration following pre and post-treatment Y-maze assessment of lamivudine, efavirenz and tenofovir-treated Wistar rats.** #  $p < 0.05$  between pre and post treatments while \* $p < 0.05$  compared to control.

(CAT) levels. The supernatant and 60  $\mu$ L of 50 mM sodium phosphate buffer (pH 8.0) were incubated at 37  $^{\circ}$ C for 15 min, and then added to 70  $\mu$ L Ellman's reaction mixture in a 50 mM sodium phosphate buffer to test AChE activity. Absorbance was measured at 405 nm after incubation at 37  $^{\circ}$ C.

#### Measurement of Lipid Peroxidation

Lipid peroxidation was measured by the reaction of MDA with thiobarbituric acid at 535 nm (Albro et al. 1986) using the extinction coefficient of MDA 156/mM/cm. The results were expressed as nmol/mg protein.



**Fig. 2: Effect of lamivudine, tenofovir and efavirenz on SOD, MDA, CAT and GSH levels in the prefrontal cortex of Wistar rats.** \* $p < 0.05$ , \*\* $p < 0.01$ , significantly different from control.

#### Measurement of Glutathione Level

Reduction in GSH was done using Ellman's method (Ellman 1959) modified by Hissin and Hilf (1976). The procedure entails the reduction of Ellman's reagent by -SH groups of GSH to form 2-nitro-s mercaptobenzoic acid. The yellow colour was read immediately at 405 nm. At standard GSH (0.001-0.1 mM), a calibrated curve was conducted and GSH concentrations were estimated as nmol/mg protein.

#### Measurement of Total Superoxide Dismutase Level

Superoxide dismutase (SOD) activity was assayed based on the production of superoxide radicals in xanthine and xanthine oxidase reactions, which also reacts with 2-(4-iodophenyl)-3-(4-nitrophenol)-5-phenyltetrazolium chloride (INT) to form a red formazan dye. The degree of inhibition measures the activity of SOD. A unit of SOD causes 50 % inhibition of the rate of reduction of INT under the conditions of the assay. The assay protein concentrations of samples were adjusted to enable reaction linearity in accordance with manufacturer's instructions. The final SOD activity was expressed as units per gram of total proteins (IU/mg protein) (Grankvist et al. 1979).

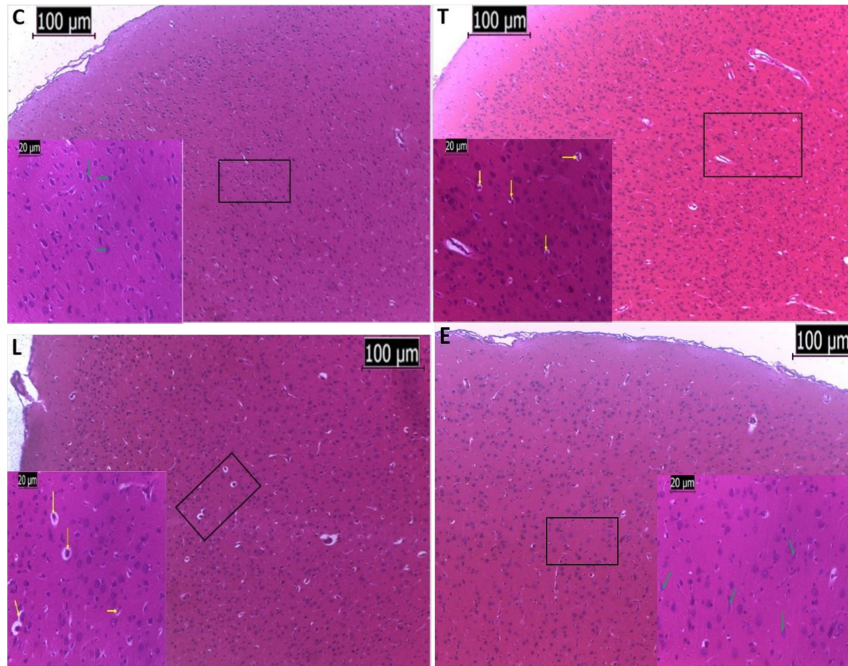
#### Measurement of Catalase Level

Aliquots of supernatants from the prefrontal cortex were used to determine catalase levels by the addition of 500  $\mu$ L of  $\text{TiOSO}_4$  to the reaction tube. Catalase activity was assayed spectrophotometrically by measuring absorbance at 420 nm (Feuers et al. 1997).

#### Histological/Immunohistochemical Analyses

For tissue collection and processing, the rats were euthanized with an intraperitoneal injection of ketamine hydrochloride (10 mg/kg) and xylazine (8 mg/kg). Five of the rats/group were perfused intracardially with normal saline and 10% formal saline for pre-fixation of the tissues. The whole brain was harvested, the pre-frontal cortex sectioned and post-fixed in 10% formal saline for tissue processing for paraffin wax embedding in accordance with Akang et al. (2019). Haematoxylin and eosin (H & E) staining was done as described by Cardiff et al. (2014). The photomicrographs were captured and examined using the Leica DM 750 microscope with ICC 50 HD camera.





**Fig. 3: Representative photomicrographs of the prefrontal cortex of Wistar rats of the control (C) and efavirenz (E) groups showing normal cortical neurons (green arrows). Tenofovir (T) and lamivudine (L) groups shows several pyknotic neurons (yellow arrows) ×100, ×400. Scale: 100 µm, 20 µm.**

For immunohistochemical analysis, deparaffinised sections of the prefrontal cortex were washed in 2 changes of 0.3% H<sub>2</sub>O<sub>2</sub> in methanol to stop the

post-treatment in the Y-maze neurobehavioral test; while one-way ANOVA was used to compare changes between post treatment and control groups

endogenous peroxidase activity. Then, the sections were washed with 0.1 M PBS (pH 7.4) with 0.3% Triton X-100 and 5% foetal bovine serum. The sections were incubated overnight at 4°C with primary polyclonal antibody rabbit anti-GFAP 1:200 (Elabscience, E-AB-70040), followed by washes with 0.1 M PBS, before being incubated for 90 min with mouse anti-rabbit IgG biotin conjugate 1:300 (Elabscience, E-AB-1030). Sections were washed in 0.1 M PBS, and binding sites of antibodies were revealed with 2-step plus poly-HRP anti mouse/rabbit IgG detection system with 3,3'-diaminobenzidine (DAB) solution (Elabscience, E-IR-R217). Sections were counterstained in haematoxylin (Akang et al. 2019).

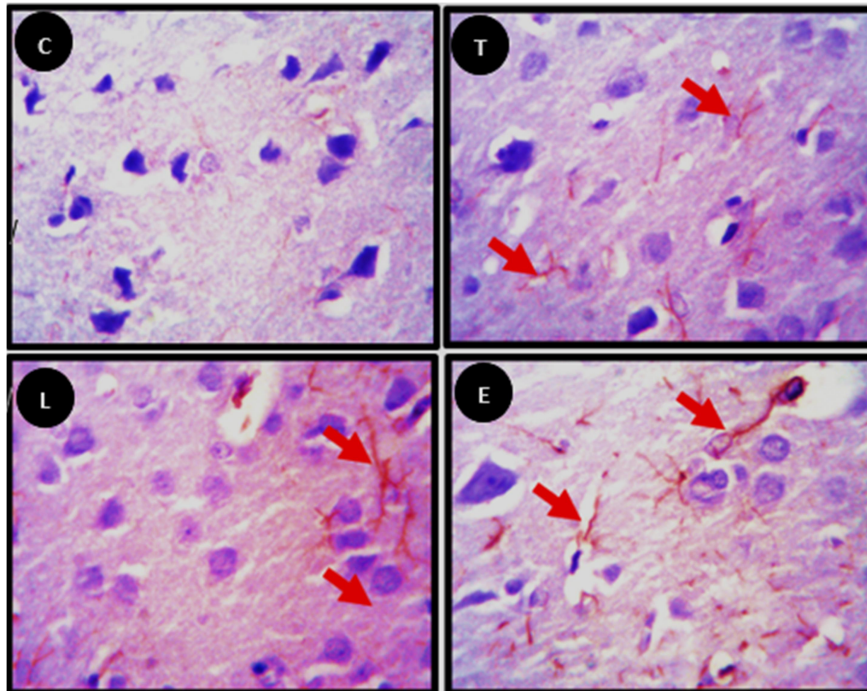
**Statistical Analysis**

Paired t-test was used to compare between pre- and post-treatment in the Y-maze neurobehavioral test; while one-way ANOVA was used to compare changes between post treatment and control groups following behavioural assessment. Data were expressed as mean ± S.E.M. Statistical significance of differences between means was determined using one-way ANOVA via the IBM SPSS 20. P-values less than 0.05 were considered significant using Tukey’s post hoc test.

**RESULTS**

**Y-maze Test**

The Y-maze memory performance assessment result showed a significant increase in percentage alternation evaluated from the right/wrong decisions scored in the pre- and post-treatment of control and efavirenz groups but this was not observed in other groups. There was also a significant decrease in tenofovir and lamivudine groups compared to the control group (p<0.05, Fig. 1).



**Fig. 4: Representative photomicrograph showing GFAP expression (red arrows) in the prefrontal cortex of Wistar rats. Control (C) group showing low reactivity to GFAP. Tenofovir (T), lamivudine (L) and efavirenz (E) showed high expression of GFAP. ×400**

### Assessment of Oxidative Stress Markers in the Prefrontal Cortex

The MDA levels of lamivudine group increased significantly ( $p < 0.05$ ) compared to the control (Fig. 2B). There was significant increased GSH levels ( $p < 0.01$ ) in the tenofovir and lamivudine groups when compared to the control (Fig. 2D). There was no significant difference in SOD and CAT levels between the control and the treated groups (Fig. 2A and 2C).

### Histological Analysis

The H & E staining technique revealed that the neuronal pyramidal cells appeared unaffected and evenly distributed in the multiform layer of the prefrontal cortex of the control group. The prefrontal cortex sections from the tenofovir and lamivudine-treated rats revealed depletion, vacuolation and degeneration of pyramidal neurons in the multiform layer. The histomorphology of the prefrontal cortex of the efavirenz-treated rats showed mostly unaffected histomorphology (Fig. 3).

### Immunohistochemical Analysis

The immunohistochemical demonstration revealed increase expression of GFAP and activation of astrocytes in the prefrontal cortex of the HAART (tenofovir, lamivudine and efavirenz) exposed groups when compared to the control group (Fig. 4).

## DISCUSSION

Therapy with three medications has been the standard for patients with HIV. Despite the reduction in the mortality rate of HIV infected patients through the introduction of HAART, the occurrence of HIV-associated neurocognitive disorders (HAND) persists in patients. In our previous study (Akang et al. 2019), there was marked neurotoxicity from the combined therapy of tenofovir (NRTI), lamivudine (NRTI), and efavirenz (NNRTI) on cognition. In the present study, we sought to identify the potential effects associated with individual drugs in the HAART combined therapy.

Cognitive assessment in this study is in agreement with earlier studies suggesting that HAART may be responsible for the cognitive deficits observed (Robertson et al. 2007; Zulu et al. 2018; Fields et al. 2019). The Y-maze performance test following treatment with lamivudine and tenofovir showed a decrease in percentage alternation evaluated from the right/wrong decisions scored in the tenofovir and lamivudine groups, compared to the control group which indicates a negative impact on learning and memory. It is also supported by the degeneration of neurons observed in the histological results of these groups. However, a significant increase in percentage alternation was seen in the efavirenz-treated rats compared to the control, demonstrating a

potential memory enhancing benefit of efavirenz. Recent studies have shown that efavirenz has the potential to upregulate cytochrome P<sub>450</sub> 46A1 (CYP46A1) activity. CYP46A1 is expressed in many neurons and regulates the production of 24-hydroxycholesterol which is a modulator of N-methyl-D-aspartate receptors (NMDAR) involved in memory and cognition (Maioli et al. 2013; Petrov et al. 2019). A nexus has been established between oxidative stress and neurodegenerative diseases pathogenesis, including HAND (Shibata and Kobayashi 2008; Schifitto et al. 2009). Oxidative stress due to excessive reactive oxygen species (ROS) production disrupts reduction-oxidation balance and reduces protein folding, which will subsequently impair cellular metabolism (Pejman et al. 2020). We examined the effect of HAART medications (tenofovir, lamivudine and efavirenz) on antioxidative status by determining the activities of SOD, MDA, GSH and CAT in the prefrontal cortices of rats exposed to these drugs. SOD is the antioxidant enzyme responsible for the dismutation of free radicals generated during HAART (tenofovir and lamivudine) metabolism to hydrogen peroxide, which is then changed to water by catalase and glutathione (Sen et al. 2010). Pronounced oxidative stress following lamivudine administration was observed in the present study as demonstrated by the increased ROS production and lipid peroxidation in the prefrontal cortex. Our study agrees with Guo et al. (2013), who reported evidence of antiretroviral therapy-induced oxidative stress in neurons associated with impaired mitochondrial function. Indeed, oxidative stress and mitochondrial dysfunction has been fingered as a key contributor to the pathogenesis of many neurocognitive disorders. Even though it might be premature to explain the exact mechanism responsible for the higher levels of GSH observed in the treatment groups, in contrast to the high MDA levels, it may possibly be as a result of the release of GSH into the extracellular microenvironment by astrocytes which then generates precursors for neuronal GSH synthesis (Hirrlinger et al. 2002).

Results from the present study showed that the lamivudine and tenofovir might adversely alter the histoarchitectural integrity of the prefrontal cortex, possibly due to increased mitochondrial damage, which is a source of free radicals (Ikekpeazu et al. 2020). It should be noted that the NNRTIs have also been implicated in the oxidative stress hypothesis. It has been shown to inhibit creatinine kinase and cytochrome c oxidase-mediated mitochondrial processes thereby enabling an environment for the production of reactive oxygen species that consequently drives oxidative stress (Funes et al. 2015; Hung et al. 2017).

However, in the present study, efavirenz did not alter the morphology of the prefrontal cortex. Tenofovir and lamivudine treated groups produced significant

distortion of the cortical histomorphology. These results are in tandem with Ferrer and Rakhmanina (2013) who reported peripheral neuropathy in mice treated with tenofovir and the study of Peter et al. (2017) who reported shrunken Purkinje cells and distorted granular layer in the cerebellum of rats treated with lamivudine.

Several studies highlighting the detrimental effects that antiretroviral drugs have on neural structures are often through mechanisms involving oxidative stress. Whether these drugs also affect the factors involved in the processes of neuroinflammation and neuroplasticity is not clear at the moment. Our study hypothesises that the HAART (tenofovir, lamivudine and efavirenz) exposure may induce neuroinflammation with marked astrogliosis. Histologically, neuroinflammation is characterized by astrogliosis (Haim et al. 2015) and the production of pro-inflammatory mediators (Medeiros and LaFerla 2013). From this study, the antiretroviral drugs administered for six weeks markedly induced astrogliosis in the prefrontal cortices of the tenofovir- and lamivudine-treated groups when compared to the control group. These results, therefore, suggest that both tenofovir and lamivudine can induce an inflammatory cascade in the brain, which drives neurodegeneration as seen in HAND (Rojo et al. 2008; Gelders et al. 2018). It is possible that in these conditions, reactive astrocytes or microglia may instigate the production of pro-inflammatory proteins associated with the onset of various neurodegenerative diseases (Lu et al. 2010; Vivithanaporn et al. 2016), including AIDS-induced parkinsonism (Devine et al. 2018). Our results agree with findings from a previous investigation that showed that administration of efavirenz increased astrogliosis in mice (Petrov et al. 2019). HAART--induced astrogliosis is possibly via the promotion of oxidative stress as seen in this study (Daverey and Agrawal 2016). Studies have shown that disruption of DNA synthesis in mitochondria in the presence of NRTIs is as a result of mitochondrial enzyme, DNA polymerase gamma impairment (Apostolova et al. 2010; Smith et al. 2017). The results of our study is therefore consistent with previous studies that demonstrated NRTIs, in this case, tenofovir and lamivudine induce oxidative damage and astrogliosis in the prefrontal cortex of rats (Tamagno et al. 2008; de Oliveira et al. 2014). This suggests that ART may induce memory impairment or further the risk of HIV-associated neurocognitive diseases.

Our findings indicating activation of astrocyte suggest that CNS-penetrating antiretroviral medications in neurologically active combined antiretroviral therapy (Neuro-cART) (Cysique et al. 2004; Letendre et al. 2008), may not confer significant protection on astrocytes against HIV infection. This agrees with a study by Gray et al. (2013), where they reported that NRTIs like lamivudine had insufficient HIV-1 inhibitory activity in astrocytes. In a general sense, the

seeming higher neurotoxic capacity of lamivudine among the two NRTIs used in the present study compared to efavirenz could be due to the fact that, lamivudine as an NRTI requires activation from its latent form by different phosphorylation events, indicating that the drug is only effective against the HIV-1 reverse transcriptase once the drug is tri-phosphorylated; and alteration in these events can lead to differences in cellular uptake of NRTIs, inefficient or incomplete drug activation. This poor protection of NRTIs against HIV infection on astrocyte can compromise brain homeostasis fundamentally regulated by astrocytes, and can promote the development and progression of HAND in HIV-infected persons.

### Conclusion

The emergence of HAART has enhanced the possibility of managing HIV-1 infection; nevertheless, the occurrence of chronic disease associated with neurocognitive disorders persists in HIV+ patients. Several reports have unveiled the possibility that long-term exposure to HAART furthers the pathogenesis of HAND aside the HIV itself. Our findings therefore suggest that of the three HAART drugs studied, lamivudine may be a major culprit in the progressive neurological damage and cognitive impairment in HIV-infected individuals on HAART.

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Nil

### Conflict of Interest

None declared.

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### Authors Contribution

OD and EA: Conceptualization, visualization, methodology, validation, final draft of manuscript; SA: resources, investigation, data curation, project administration; EE and SA: Formal analysis and writing original draft. OD, EA and ASA: Supervision, resources, review and editing.

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